



Order Receipt and Execution Policy

Policy reviewed on 04-04-2023 by Ajay Gangwal (Designated Director)

It shall be ensured that while punching orders in the trading systems of the exchanges, the orders exactly match with the instruction given by the client. The dealers are expected to put correct client code, scrip, punching and rate in the order entry window and achieve zero error status in order punching, as any wrong entry may result in financial loss special attention shall be paid to put the order under correct client code only. Any error in client code shall be viewed seriously. However there may be genuine occasion when order are placed under wrong client code necessitating client code modification. SEBI has permitted the following circumstances to be categorized as genuine errors.

- A) Error due to communication and /or punching or typing such that the original client code /name and the code punched in order entry are similar each other
- B) Modification within relatives as defined under the Companies Act 1956. The dealers shall be held responsible for errors other than those mentioned above and may be made liable for recovery of penalty imposed by the exchange.
- i) Orders punched under wrong client code shall be reported to the Management who will authorize transfer of such trades to the Error account.
- ii) Such trades punched under wrong client code shall be transferred to the above Error account on the day of trade.
- iii) Such trades shall not be transferred to the account of any client.
- iv) Positions outstanding in the error account shall be liquidated in the market only within shortest possible time.
- v) Such position shall not be transferred to any client's account under any circumstances
- vi) The error account code shall be uploaded to the Exchanges under UCC upload.
- c) Order can be accepted from client if client call from registered mobile number. After all confirmation receive from client than dealer will put order.

For Siddhartha Commodities Pvt. Ltd.

Ajay Gangwal

Director



SIDDHARTHA
Commodities Pvt. Ltd.

(Member : NSE & MCX)

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- D) All order history like execute order, modify order, cancel order will keep voice recording and order backup log will be stored and keep safe.
- E) If client come to office and give instruction for any trade then we will keep all records and keep visitor register.
- F) Order will be accept only when client facing problem in online trading platform.
- G) All trade confirmation report send to client via email.

For : Siddhartha commodities Pvt Ltd

For Siddhartha Commodities Pvt. Ltd.

Director

AJAY GANGWAL (Designated Director)

SIDDHARTHA
Commodities Pvt. Ltd.

Trade with Confidence

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